



40E

2825

#5

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 6, 2002.

Signature: \_\_\_\_\_

*Carrie Reddick***PATENT****Attorney Docket No. NTI-030****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application )

Inventor(s): James K. Falbo )

Application No.: 10/040,055 )

Filed: 12/31/2001 )

Title **SHAPE-BASED GEOMETRY ENGINE TO  
PERFORM SMOOTHING AND OTHER  
LAYOUT BEAUTIFICATION OPERATIONS** )**PATENT APPLICATION**

Art Unit: 2825

Examiner: unknown

**RECEIVED  
DEC 10 2002  
TC 2800 MAIL ROOM****INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

- ☒ This statement qualifies under *37 C.F.R. § 1.97*, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☒ (3) It is being filed before the mail date of the first Office Action on the merits.  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114
- ☐ *37 C.F.R. § 1.97(c)*. If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
  - ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ *37 C.F.R. § 1.97(d)*. If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
  - B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** Applicant believes no fee is due. However, if a fee is found to be owing, the Commissioner is hereby authorized to charge the fee of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. NTI-030).

Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

Dated: December 6, 2002

By:   
Jeanette S. Harms, Reg. No. 35,537

Telephone: (408) 451-5907  
Customer No. 29477